

<b>Form PTO-1449</b>  <b>LIST OF PRIOR ART CITED BY APPLICANT</b> <i>(Use several sheets if necessary)</i>	ATTORNEY DOCKET NO. <b>AUS920030825US1</b>	SERIAL NO. <b>Not Assigned</b>
	APPLICANT <b>Alexander, III et al.</b>	
	FILING DATE	GROUP ART UNIT <b>Not Assigned</b>

## U.S. PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NO.	PUBLICATION DATE	INVENTOR NAME	CLASS/ SUBCLASS	FILING DATE

## FOREIGN PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NO.	PUBLICATION DATE	COUNTRY	CLASS/ SUBCLASS	TRANSLATION YES NO	

## OTHER PRIOR ART (including author, title, date, pertinent page, etc.)

/SR/	AA	Alexander et al., "A Unifying Approach to Performance Analysis in the Java Environment", IBM Systems Journal, Vol. 39, No. 1, 2000, pp. 118-134.

## RELATED PATENT APPLICATIONS

EXAMINER INITIAL	APPLICATION NO./ ATTY. DOCKET NO.	APPLICANT	TITLE	FILING DATE

DATE CONSIDERED

EXAMINER /Satish Rampuria/ (11/30/2007)

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP § 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**  
( Not for submission under 37 CFR 1.99)

Application Number	10777909
Filing Date	2004-02-12
First Named Inventor	Alexander, III et al.
Art Unit	2193
Examiner Name	Not Assigned
Attorney Docket Number	AU920030825US1

**U.S. PATENTS**[Remove](#)

Examiner Initial*	Cite No	Patent Number	Kind Code <sup>1</sup>	Issue Date	Name of Patentee or Applicant of cited Document	Pages, Columns, Lines where Relevant Passages or Relevant Figures Appear
/SR/	1	6011918		2000-01-04	Cohen et al.	
/SR/	2	6904594	B1	2005-06-07	Berry et al.	
/SR/	3	5950003		1999-09-07	Kaneshiro et al.	

If you wish to add additional U.S. Patent citation information please click the Add button.

[Add](#)**U.S. PATENT APPLICATION PUBLICATIONS**[Remove](#)

Examiner Initial*	Cite No	Publication Number	Kind Code <sup>1</sup>	Publication Date	Name of Patentee or Applicant of cited Document	Pages, Columns, Lines where Relevant Passages or Relevant Figures Appear
/SR/	1	20050183070	A1	2005-08-18	Alexander, III et al.	
/SR/	2	20050183074	A1	2005-08-18	Alexander, III et al.	
/SR/	3	20050183067	A1	2005-08-18	Alexander, III et al.	

If you wish to add additional U.S. Published Application citation information please click the Add button.

[Add](#)**FOREIGN PATENT DOCUMENTS**[Remove](#)

**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**  
( Not for submission under 37 CFR 1.99)

Application Number	10777909
Filing Date	2004-02-12
First Named Inventor	Alexander, III et al.
Art Unit	2193
Examiner Name	Not Assigned
Attorney Docket Number	AU920030825US1

Examiner Initial*	Cite No	Foreign Document Number <sup>3</sup>	Country Code <sup>2</sup> j	Kind Code <sup>4</sup>	Publication Date	Name of Patentee or Applicant of cited Document	Pages, Columns, Lines where Relevant Passages or Relevant Figures Appear	T <sup>5</sup>
	1							<input type="checkbox"/>

If you wish to add additional Foreign Patent Document citation information please click the Add button

**NON-PATENT LITERATURE DOCUMENTS**

Examiner Initials*	Cite No	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc), date, pages(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>5</sup>
/SR/	1	KAZI et al., "JaViz: A Client/Server Java Profiling Tool", IBM Systems Journal 39, No. 1, 2000, pp. 96-117.	<input type="checkbox"/>

If you wish to add additional non-patent literature document citation information please click the Add button

**EXAMINER SIGNATURE**

Examiner Signature	/Satish Rampuria/ (11/30/2007)	Date Considered
--------------------	--------------------------------	-----------------

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through a citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> See Kind Codes of USPTO Patent Documents at [www.USPTO.GOV](http://www.USPTO.GOV) or MPEP 901.04. <sup>2</sup> Enter office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>3</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>4</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>5</sup> Applicant is to place a check mark here if English language translation is attached.